### Foundations of Probabilistic Proofs

A course by Alessandro Chiesa

Lecture 09

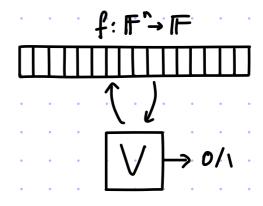
Low-Degree Testing

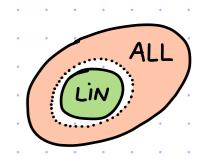


# Low-Degree Testing

Recall the goal of LINEARITY TESTING:

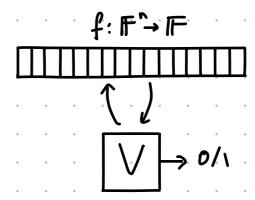
- · completeness:  $f \in Lin[F, n] \rightarrow P_r[V_{Lin}^f = 1] = 1$
- · soundness:  $\Delta(f, LiN[F,n]) > \delta \rightarrow P_{F}[V_{LiN}=1] < E(\delta)$

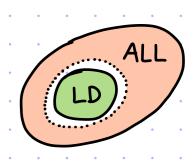




The goal of LOW-DEGREE TESTING is:

- · completeness:  $f \in LD[F, n, d] \rightarrow P_r[V_{Lo}^f = 1] = 1$
- · soundness:  $\Delta(f, LD[F, n, d]) > \delta \rightarrow P_{\Gamma}[V_{L}^{f} = 1] \leq \varepsilon(\delta)$





What does degree d mean?

- total degree (e.g. in this case LD[F,n,total] = AFFINE[F,n])
- individual degree (e.g. in this case LD[F, n, ind <1] = "multilinear polynomials")

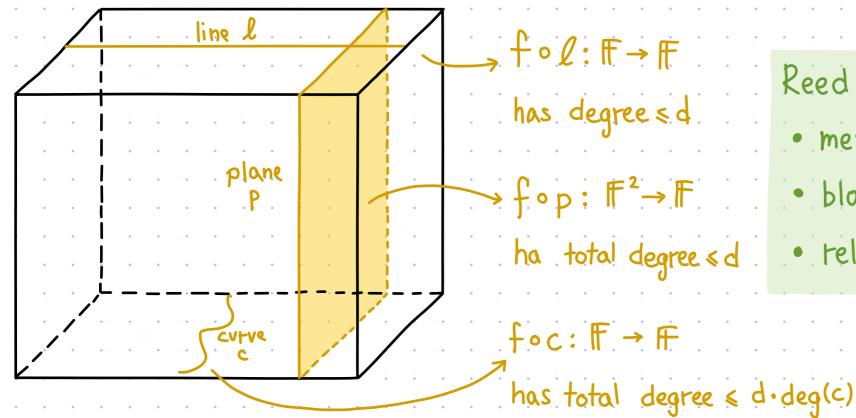
Exercise: derive a test for individual degree from a test for total degree.

In most applications the difference total-vs-individual does not matter much.

### Total Low-Degree Testing

Today we study TOTAL low-degree testing:

This set of functions is a linear error-correcting code with rich structure:



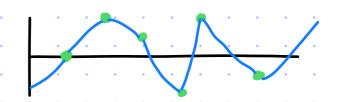
#### Reed - Muller code:

- message length = (n+d) if d< |F|
- block length = IFIn
- relative distance ≥ 1- d IFI

- Next: 1 low-degree testing for LD[F, n=1, d] (univariate polynomials)
  - 2 extend to nz1 (multivariate polynomials)

# Univariate Polynomials: a Trivial Test

Fact: any d+1 locations ao, a1, ..., ad eff determine a polynomial



A natural idea is to interpolate and test at a random point:

$$V^{f:\mathbb{F}\to\mathbb{F}}$$
 ( $\mathbb{F},d$ ):= 1. Sample  $r\leftarrow\mathbb{F}$ 
2. Query  $f$  at  $a_0,a_1,...,a_d$ ,  $r$ 
3. Let  $\widetilde{p}(x)$  be the interpolation of  $\{(a_i,f(a_i))\}_{i=0}^d$ 
4. Check that  $\widetilde{p}(r)=f(r)$ 

query complexity: d+2 = O(d)[ & non-adaptive]

Completeness: if f = p for a polynomial p(x) of degree & d then  $\tilde{p}=p$  and so  $\forall r \in \mathbb{F}$   $\tilde{p}(r)=p(r)=f(r)$ 

Soundness: 
$$P_r[V_{=1}^f] = P_r[\tilde{p}(r) = f(r)] \leq 1 - \Delta(f, \mathbb{F}^{\leq d}[x])$$

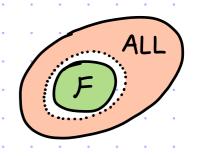
The query complexity O(d) can be much less than IFI (reading all of f).

Exercise: prove that a query complexity of sold) is necessary.

PROBLEM: the straightforward extension of this idea to n>1 yields large query complexity.

## Trivial Test for Multivariate Polynomials

The "interpolate-and-test" idea is an example of a Trivial TEST. We describe a trivial test for any property  $F = \{f: D \rightarrow \Sigma\}$ .



A fixing set  $S \subseteq D$  for F is such that,  $\forall a: S \rightarrow \Sigma$ ,  $|\{f \in F \mid f(S) = a\}| \le 1$ .

```
V_s^{f:D\to\Sigma}: 1. Sample r \leftarrow D. query complexity is |S|+1
2. Query f at S and r.
3. Let \tilde{p} be the unique function in F s.t. \tilde{p}(S)=f(S).
4. Check that \tilde{p}(r)=f(r).
```

Completeness: if 
$$f \in F$$
 then

 $P_r[V_s^f = 1] = P_r[\widehat{p}(t) = f(t)] = 1$ .

Soundness:

 $P_r[V_s^f = 1] = P_r[\widehat{p}(t) = f(t)] \le 1 - \Delta(f, F)$ .

Examples of trivial tests:

The BLR test for Lin(F,n) has 3 queries, much better than the (n+1)-query trivial test.

The (d+2)-query trivial test for LD[F, n=1,d] is optimal.

The trivial tests for LD[F,n,tot&d] and LD[F,n,ind&d] have large query complexity.

Today we see a low-degree test that is much better than the trivial test.

### Univariate Polynomials: a Different Attempt

We focus on a special case:  $F = F_p$  for prime p > d+2. The test is inspired by a different local characterization of low-degree polynomials:

The proof is by induction, using formal derivatives.

Ex for 
$$d=0: (C_0,C_1)=(-1,1) \rightarrow -f(a)+f(a+1)=0$$
.

Ex for 
$$d=1$$
:  $(C_0,C_1,C_2)=(-1,2,-1) \rightarrow -f(a)+2f(a+1)-f(a+2)=0$ , i.e.,  $\frac{f(a+1)-f(a)}{(a+1)-a}=\frac{f(a+2)-f(a+1)}{(a+2)-(a+1)}=0$ .

New proposal: 
$$V^{f:\mathbb{F}_p \to \mathbb{F}_p}(\mathbb{F}_p, d) := 1$$
. Sample  $r \leftarrow \mathbb{F}_p$ 

2. Query  $f$  at  $r$ ,  $r+(d+1)$ 

3. Check that  $\sum_{i=0}^{d+1} C_i \cdot f(r+i) = 0$ 

### PROBLEM: it does not work. [Not all local characterizations do!]

This test rejects with probability only  $\Theta(d/\mathbf{IFI})$ .

#### A Refined Local Characterization

#### proof:

For the direction "

set b=1 and invoke the lemma.

For the direction " $\rightarrow$ ", fix  $a,b \in \mathbb{F}_p$  and consider g(x) := f(ax+b).

The degree of g is at most d. Hence, by the lemma,

$$\forall e \in \mathbb{F}_{p}$$
  $0 = \sum_{i=0}^{d+1} c_{i} \cdot g(e+i) = \sum_{i=0}^{d+1} c_{i} \cdot f(a+(e+i) \cdot b) = \sum_{i=0}^{d+1} c_{i} \cdot f((a+eb)+i \cdot b)$ .

Now set e = 0, and we get the condition for a,b.

The local constraints increased from  $|\mathbb{F}_p| = p$  to  $|\mathbb{F}_p|^2 = p^2$ .

The choice of b randomizes the "step size" and seems to tule out the counterexample.

## Univariate Polynomials: the Rubinfeld-Sudan Test

Check one of the IFp12 local constraints at random:

$$V_{RS}^{f:\mathbb{F}_{p}\to\mathbb{F}_{p}}(\mathbb{F}_{p},d):=1. \text{ Sample } r,s\leftarrow\mathbb{F}_{p}$$

$$2. \text{ Query } f \text{ at } r,r+s,...,r+(d+i)\cdot s$$

$$3. \text{ Check that } \sum_{i=0}^{d+i} C_{i}\cdot f(r+i\cdot s)=0$$
[& non-adaptive]

Completeness: if  $f \in \mathbb{F}_p^{\leq d}[x]$  then  $\Pr_{r,s}[V_{Rs}=1]=1$  by corollary

Soundness:  $P_r[V_{RS}^f = 0] \ge \min\{\Omega(\frac{1}{d^2}), \frac{1}{2}\Delta(f, \mathbb{F}_p^{sd}[x])\}$ 

Equivalently:  $Pr[V_{RS}=1] \leq \max\{1-O(\frac{1}{d^2}), 1-\frac{1}{2}\Delta(f, \mathbb{F}_p^{\leq d}[x])\}$ 

### Isn't this test worse?

- lose a factor of 2 in distance (previously,  $Pr[V^f=0] \ge \Delta(f, \mathbb{F}_p^{\leq d}[x])$ )
- high-agreement regime: even if f is  $\frac{1}{10}$ -far, we get error only  $\leq 1-O(\frac{1}{d^2})$ , so we need to repeat the test  $O(d^2)$  times for constant error  $\rightarrow O(d^3)$  queries

But: RS test extends to multivariate polynomials with no changes

# Proof overview

f: 
$$\mathbb{F}_{p} \to \mathbb{F}_{p}$$
  
 $V_{RS}$  := 1. Sample  $f, S \in \mathbb{F}_{p}$   
 $\frac{r}{r+s} \xrightarrow{r+4s} \frac{r}{r+5s}$  2. Check that  $\sum_{i=0}^{d+1} C_{i} \cdot f(r+i\cdot s) = 0$ 

Similar to the case of linearity testing.

theorem: 
$$\Pr[V_{RS}^f = o] \ge \min\left\{\frac{1}{4\cdot(d+2)^2}, \frac{1}{2} \cdot \Delta(f, \mathbb{F}_p^{\leq d}[X])\right\}.$$

The plurality correction is

$$g_f: \mathbb{F} \to \mathbb{F}$$
 where  $g_f(x) := \arg \max_{v \in \mathbb{F}_p} \left\{ s \in \mathbb{F}_p \mid v = \sum_{i=1}^{d+1} c_i \cdot f(x+i \cdot s) \right\}$ .

- Part 1:  $Pr[V_{RS}^f = 0] \ge \frac{1}{2} \cdot \Delta(f, g_f)$  far from plurality correction  $\rightarrow$  many bad lines
- Part 2:  $Pr[V_{RS}^f = 0] < \frac{1}{4 \cdot (d+2)^2} \rightarrow g_f \in \mathbb{F}^{sd}[x]$  few bad lines  $\rightarrow$  plurality correction is low-degree

#### Conclusion:

- If 
$$\Pr\left[V_{RS}^{f}=0\right] \geqslant \frac{1}{4\cdot(d+2)^{2}}$$
 then we are done.  
- If  $\Pr\left[V_{RS}^{f}=0\right] < \frac{1}{4\cdot(d+2)^{2}}$  then (by Part 2)  $g_{f}$  is low-degree and (by Part 1) we get 
$$\Pr\left[V_{RS}^{f}=0\right] \geqslant \frac{1}{2}\cdot\Delta(f,g_{f}) \geqslant \frac{1}{2}\cdot\Delta(f,\mathbb{F}_{p}^{\leq d}[X]).$$

# Analysis of the RS Test - Part 1

$$\sum_{i=0}^{d+1} C_i \cdot f(r+is) = 0 \Leftrightarrow f(r) = \sum_{i=1}^{d+1} C_i \cdot f(r+is)$$

The plurality correction of f is 
$$g_f(x) := arg \max_{v \in \mathbb{F}_p} \left| \left\{ s \in \mathbb{F}_p \mid v = \sum_{i=1}^{dn} C_i \cdot f(x+is) \right\} \right|$$
. If  $g_f$  is far from f then  $V_{RS}^f$  rejects with high probability: 
$$\frac{claim:}{claim:} \Pr\left[V_{RS}^f = 0\right] \geqslant \frac{1}{2} \cdot \Delta(f, g_f)$$

$$\Pr\left[V_{RS}^f = 0\right] \geqslant \frac{1}{2} \cdot \Delta(f, g_f)$$

$$\Pr\left[\int_{s}^{dn} \left[f(r) \neq \sum_{i=1}^{dn} C_i \cdot f(r+is)\right] \geqslant \frac{1}{2} \right].$$
For every  $r \notin S$ ,  $\Pr\left[f(r) = \sum_{i=1}^{dn} C_i \cdot f(r+is)\right] \geqslant \frac{1}{2}$  (more than half of s's vote for  $f(r)$ ) so  $f(r) = g_f(r)$ .

Hence  $\Delta(f, g_f) \leqslant \frac{|S|}{|F|} (\forall r \text{ if } f(r) \neq g_f(r) \text{ then } r \in S').$ 
So  $\Pr\left[V_{RS}^f = 0\right] = \Pr\left[r \in S\right] \cdot \Pr\left[V_{RS}^f = 0 \mid r \notin S\right] + \Pr\left[r \notin S\right] \cdot \Pr\left[V_{RS}^f = 0 \mid r \notin S\right]$ 

$$\geqslant \frac{|S|}{|F|} \cdot \min_{r \in S'} \left\{ \Pr\left[f(r) \neq \sum_{i=1}^{dn} C_i \cdot f(r+is)\right] \right\} + O$$

$$\geqslant \frac{|S|}{|F|} \cdot \frac{1}{2} \geqslant \Delta(f, g_f) \cdot \frac{1}{2}.$$

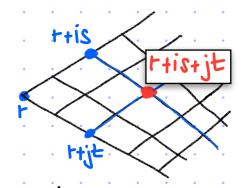
# Analysis of the RS Test - Collision Lemma

few bad lines imply many votes for the plurality correction

$$\underline{\text{claim}}: \ \forall \ r \in \mathbb{F}_{p}, \ \underline{P}_{r} \left[ g_{f}(r) = \sum_{i=1}^{d+1} C_{i} \cdot f\left(r + i \cdot s\right) \right] \geqslant 1 - 2 \cdot \left(d+1\right) \cdot \underline{P}_{r} \left[ \bigvee_{Rs}^{f} = 0 \right]$$

We now analyze the Collision PROBABILITY.

For every 
$$s,t \in \mathbb{F}$$
 if  $\{\forall i \in \{1,...,d+1\} \ f(r+is) = \sum_{j=1}^{d+1} C_j \cdot f((r+is)+jt) \}$   
 $\{\forall j \in \{1,...,d+1\} \ f(r+jt) = \sum_{i=1}^{d+1} C_i \cdot f((r+jt)+is) \}$ 



then 
$$\sum_{j=1}^{d+1} C_{i} \cdot f(r+is) = \sum_{j=1}^{d+1} C_{i} \cdot \sum_{j=1}^{d+1} C_{j} \cdot f((r+is)+jt) = \sum_{j=1}^{d+1} C_{j} \cdot \sum_{i=1}^{d+1} C_{i} \cdot f((r+jt)+is) = \sum_{j=1}^{d+1} C_{j} \cdot f(r+jt)$$
.

Hence 
$$\Pr\left[\sum_{i=1}^{d+1} c_{i} \cdot f(r+is) \neq \sum_{i=1}^{d+1} c_{i} \cdot f(r+it)\right] \leq \Pr\left[\sum_{i=1}^{d+1} c_{i} \cdot f(r+is) \neq \sum_{j=1}^{d+1} c_{j} \cdot f(r+is) + jt\right] \\ \leq \Pr\left[\sum_{i=1}^{d+1} c_{i} \cdot f(r+it)\right] \leq \Pr\left[\sum_{i=1}^{d+1} c_{i} \cdot f(r+jt) + jt\right] \\ \leq 2(d+1) \cdot \Pr\left[\sum_{i=1}^{d+1} c_{i} \cdot f(r+jt) + jt\right] \\ \leq 2(d+1) \cdot \Pr\left[\sum_{i=1}^{d+1} c_{i} \cdot f(r+jt) + jt\right]$$

### Analysis of the RS Test - Part 2

$$\leq (d+2) \cdot \frac{1}{2 \cdot (d+2)} + (d+1) \cdot \frac{1}{4 \cdot (d+2)^2} < 1$$

$$\Pr_{t_1,t_2} \left[ g_f \left( r + is \right) \neq \sum_{j=1}^{d+1} c_j \cdot f \left( (r + is) + j \cdot (t_1 + it_2) \right) \right] < 2 (d+1) \cdot \Pr_{v_r} \left[ V_{r_s}^f = 0 \right] < 2 (d+1) \cdot \frac{1}{4 \cdot (d+2)^2} \leq \frac{1}{2 \cdot (d+2)}$$

# Extending the RS Test to Multivariate Polynomials

The local characterization holds similarly:

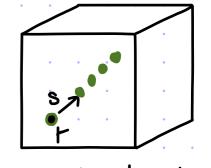
$$\forall d < p-2 \ \forall f : \mathbb{F}_p^n \to \mathbb{F}_p$$
,  $f \in \mathbb{F}_p^{\leq d} [X_1, X_n] \longleftrightarrow \forall a, b \in \mathbb{F}_p^n \sum_{i=0}^{d+1} C_i \cdot f(a+i\cdot b) = 0$ 
total degree

This directly motivates the following test: query complexity is d+2 = O(d)

$$V_{RS}^{f:\mathbb{F}_{p}^{n}\to\mathbb{F}_{p}}(\mathbb{F}_{p},n,d):=1. \text{ Sample } r,s\leftarrow\mathbb{F}_{p}^{n}$$

$$2. \text{ Query } f \text{ at } r,r+s,...,r+(d+i)\cdot s$$

$$3. \text{ Check } t\text{ hat } \Sigma_{i=0}^{d+i} C_{i}\cdot f(r+i\cdot s)=0$$



read d+2 locations on a random line

The theorem for soundness is also similar:

theorem: 
$$\Pr[V_{RS}^f = o] \gg \min \left\{ \frac{1}{4 \cdot (d+2)^2}, \frac{1}{2} \cdot \Delta(f, \mathbb{F}_P^{\leq d}[x_1, x_n]) \right\}$$

The proof is the same up to syntactic modifications.

By repeating the test O(d2) times, we get:

a total low-degree test with query complexity O(d3) [independent of n] where "constant relative distance" -> "constant soundness error".

# More on Total Low-Degree Testing

A Key structure that enables low-degree testing is a ROBUST LINES CHARACTERIZATION.

Suppose that f: F^→F has total degree <d.

Then,  $\forall a,b \in F'$ , the univariate function  $g_{a,b}(z) := f(a + z \cdot b)$  has degree  $\leq d$ .

Does the converse hold?

```
COUNTEREXAMPLE: Set F := Fpe and fix any d with pe-pe-1-1<d<pe
Consider the bivariate function f(x_1, x_2) = (x_1^{p-1} x_2)^{p^{e-1}}.
The total degree of f is q > d. Yet \forall a,b g_{a,b}(z) = f(a_1 + zb_1, a_2 + zb_2) = ((a_1 + zb_1)^{p-1}(a_2 + zb_2))^{p-1}
has degree at most (p-1)p^{e-1} = p^e - p^{e-1}. (Indeed recall that z^{p^e} \equiv z since p^e is the field size.)
```

The converse holds if  $d \le p^e - p^{e-1} - 1$ . (E.g. if IF has prime size p then the condition is  $d \le p-2$ .) [Friedl, Sudan 1995] In this case, if  $\{g_{a,b}(z) := f(a+zb)\}_{a,b\in\mathbb{F}}^n$  all have degree  $\{d\}$  then  $\{f\}$  has total degree  $\{d\}$ .

Low-degree testing is based on distance variants of such results:

if  $\{g_{a,b}(z) := f(a+zb)\}_{a,b\in\mathbb{F}}^n$  are close to degree  $\{d\}$  in expectation then f is close to total degree & d

for a proof.

# More on Total Low-Degree Testing

[2/2]

These statements motivate the random-line test.

$$V^{f:\mathbb{F}^n\to\mathbb{F}}:=1$$
. Sample  $r,s\leftarrow\mathbb{F}^n$ .  
2. Query  $f$  at the line  $\ell_{r,s}(z):=r+z\cdot s$ .  $\ell$   
3. Check that  $\deg(f\circ\ell_{r,s})\leqslant d$ .

query complexity is IFI

theorem: 
$$\exists \alpha \in (0,1] \forall F \forall f: F^{\bullet}F P_{\bullet}[V^{f}=0] \geqslant \Delta(f, LD[F, n, tot ≤ d]) - (\frac{d}{|F|})^{\alpha}$$

For every line l, pe: F→F

Analyses focus on proving statements

## A few words about low-degree testing

#### Low-degree testing for quantum states, and a quantum entangled games PCP for QMA

Thomas Vidick<sup>†</sup> Anand Natarajan\*

#### Abstract

We show that given an explicit description of a multiplayer game, with a classical verifier and a constant number of players, it is QMA-hard, under randomized reductions, to distinguish between the cases when the players have a strategy using entanglement that succeeds with probability 1 in the game, or when no such strategy succeeds with probability larger than  $\frac{1}{2}$ . This proves the "games quantum PCP" conjecture" of Fitzsimons and the second author (ITCS'15), albeit under randomized reductions.

The core component in our reduction is a construction of a family of two-player games for testing n-qubit maximally entangled states. For any integer  $n \geq 2$ , we give such a game in which questions from the verifier are  $O(\log n)$  bits long, and answers are poly( $\log \log n$ ) bits long. We show that for any constant  $\varepsilon \geq 0$ , any strategy that succeeds with probability at least  $1 - \varepsilon$  in the test must use a state that is within distance  $\delta(\varepsilon) = O(\varepsilon^c)$  from a state that is locally equivalent to a maximally entangled state on n qubits, for some universal constant c > 0. The construction is based on the classical plane-vs-point test for multivariate low-degree polynomials of Raz and Safra (STOC'97). We extend the classical test to the quantum regime by executing independent copies of the test in the generalized Pauli X and Z bases

#### Low-degree tests at large distances

Alex Samorodnitsky\*

September 27, 2018

#### Abstract

We define tests of boolean functions which distinguish between linear (or quadratic)

Testing Low-Degree Polynomials over GF(2)

te sense, from these polyetween soundness and the

ormity norms behave "ran-

Michael Krivelevich <sup>‡</sup> Simon Litsyn § Dana Ron¶

of of an inverse theorem for

July 9, 2003

#### Abstract

We describe an efficient randomized algorithm to test if a given binary function  $f:\{0,1\}^n\to$ {0,1} is a low-degree polynomial (that is, a sum of low-degree monomials). For a given integer  $k \ge 1$  and a given real  $\epsilon > 0$ , the algorithm queries f at  $O(\frac{1}{\epsilon} + k4^k)$  points. If f is a polynomial of degree at most k, the algorithm always accepts, and if the value of f has to be modified on at least an  $\epsilon$  fraction of all inputs in order to transform it to such a polynomial, then the algorithm rejects with probability at least 2/3. Our result is essentially tight: Any algorithm for testing degree-k polynomials over GF(2) must perform  $\Omega(\frac{1}{2}+2^k)$  queries.

#### Improved low-degree testing and its applications

Sanjeev Arora\* Princeton University

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#### A Sub-Constant Error-Probability Low-Degree Test, and a Sub-Constant Error-Probability PCP Characterization of NP \*

Tali Kaufman †

Ran Raz †

Noga Alon '

Shmuel Safra <sup>‡</sup>

#### Abstract

 $NP = PCP(\log n, 1)$  and related results crucially depend upon the close connection between the probability with which a function passes a low degree test and the distance of this function to the nearest degree d polynomial. In this paper we study a test proposed by Rubinfeld and Sudan [29]. The strongest previously known connection for this test states that a function passes the test with probability  $\delta$  for some  $\delta > 7/8$  iff the function has agreement  $\approx \delta$  with a

#### 1 Introduction

The use of algebraic techniques has polynomial-time verifier. In MIP= N  $NP = PCP(\log n, 1)$  [6, 5] the verifies In IP=PSPACE [24, 31] the verifier ha

#### Abstract

(probabilistic) characterizations of tr We introduce a new low-degree-test, one that uses the classes. These characterizations invol restriction of low-degree polynomials to planes (i.e., tween an untrustworthy prover (or r affine sub-spaces of dimension 2), rather than the restriction to lines (i.e., affine sub-spaces of dimension cally verify the satisfiability of a bool 1). We prove the new test to be of a very small erroring very few bits in a "proof string" p probability (in particular, much smaller than constant).

> The new test enables us to prove a low-error characterization of NP in terms of PCP. Specifically, our theorem states that, for any given  $\epsilon > 0$ , membership in any NP language can be verified with O(1) accesses,

of the most fundamental avenues of research in theory of computer-science.

Since the early days, when the classes P and NP were defined, and the question was posed as to whether they are the same or do they differ, many problems were shown to be NP-complete, thereby increasing the weight on finding stricter characterization for the class NP.

NP has since been given a few alternative characterizations. The one most commonly applied being Cook's [Coo71], which characterizes NP in terms of efficient verification of proofs (or nondeterministic computations)

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